



Standards Committee

Monday, 23 March 2020

Cases and Work Update 2019 -2020

Report of the Monitoring Officer

1. Purpose of Report

- 1.1. This report provides information on the number of complaints received since Standards Committee on 27 January 2020. It also aims to help in identifying areas where training or education may assist Councillors' understanding of the regime's requirements.

2. Recommendation

It is RECOMMENDED that the Standards Committee:

- a) note the report and;
- b) consider and agree a work programme to include a review of the implementation of the Best Practice Principles.

3. Details

- 3.1 A summary of complaints received since the implementation of the existing Standards regime (June 2012) are set out in the table at Appendix 1.
- 3.2 Since implementation of the existing standards regime there have been a total of 73 cases (to include cases mentioned herein). Details of these cases have been periodically reported to the Committee, with the last report in January 2020.
- 3.3 Since the date of the last meeting no complaints have been received.
- 3.4 The table at **Appendix A** indicates the date of the complaint, if it related to a Borough, Parish or Town Councillor, if the complaint was made by a member of the public, another Borough, Parish or Town Councillor, the nature of the complaint and what action was taken to address the complaint.
- 3.5 At the meeting in January 2020, Councillors requested that the details of complaints received following the local elections in May 2019 be included retrospectively. The table at **Appendix A** has therefore been updated to include the nature of complaints received. Where the complaints were not accepted under the code, the details have not been included as to do so would be misleading, and could be seen to misrepresent the nature of complaints received.

4 Other Work

- 4.1 During the year, the Monitoring Officer has been available to provide support on questions raised by individuals.

- 4.2 The Member Development Group continues to develop the Member training programme and, the Monitoring Officer is available to support the group.
- 4.3 At the meeting in January 2020, the Committee considered the Best Practice Principles with the view to recommending a work programme for implementation. The Committee is referred to schedule B, which confirms those principles that have been adopted; the Committee is invited to consider a timescale in relation to those principles not yet adopted.

5. Risks and Uncertainties

- 5.1. None identified.

6. Implications

6.1. Financial Implications

There are no direct financial implications.

6.2. Legal Implications

There are no specific legal implications.

6.3. Equalities Implications

All complaints are considered with reference to the Council’s Equality scheme.

6.4. Section 17 of the Crime and Disorder Act 1998 Implications

There are no Section 17 Implications.

7. Link to Corporate Priorities

Quality of Life	Delivery of an effective Standards regime supports the Council’s priority of <i>‘Maintaining and enhancing our residents’ quality of life’</i>
Efficient Services	No link
Sustainable Growth	No link
The Environment	No link

8. Recommendation

It is RECOMMENDED that the Standards Committee:

- a) note the report and;
- b) consider and agree a work programme to include a review of the implementation of the Best Practice Principles.

For more information contact:	Sanjit Sull - Monitoring Officer ssull@rushcliffe.gov.uk 0115 9148215
Background papers Available for Inspection	None
List of appendices (if any):	Appendix A - Code complaints Appendix B – Implementation timetable

Appendix A

Update on cases from 26th November 2018

Case Ref	Date received	RBC/Parish Council	Complainant	Independent Person consulted	Decision and date
	02/10/2018	Keyworth Parish Council	Parish Councillor	Yes	Investigation report received, MO and IP reviewing resolution with Subject Member.
	25/10/2018	Borough Council and Bingham Town Council	Borough/Town Councillor	Yes	Complaint review, no formal action.
	23/10/2018	Gotham Parish Council	Members of the public (three complainants)	Yes	Complaint review, no action.
	05/11/2018	Borough Council and Bingham Town Council	Borough /Town Councillor/ County Councillor	Yes	Complaint review, no formal action.

Summary of new cases from report to committee 26th November 2018

Case Ref	Date received	RBC/Parish Council	Complainant	Independent Person consulted	Decision and date
	07/11/2018	RBC	Member of the Public	Yes	Complaint review, no action.
	15/11/2018	Borough Council and Bingham Town Council	Town Councillor	No	Complaint review, no action.
	14/11/2018	Bingham Town Council	Borough /Town Councillor	No	Complaint review, no action.

Case Ref	Date received	RBC/Parish Council	Complainant	Independent Person consulted	Decision and date
	03.12.2018	Wysall and Thorpe in the Glebe PC	Member of the public	Yes	Complaint review, no action.
	18.12.2018 (linked complaint received 13.11.18)	Bingham Town Council x 3	Member of the public	Yes	1 x Complaint: no action, 1x Complaint, local resolution (apology) 1x Complaint referred for formal investigation.
	21.01.2019	Newton PC	Parish Councillor	Yes	Complaint withdrawn

Summary of new cases from report to committee 12th March 2019

Case Ref	Date received	RBC/Parish Council	Complainant	Independent Person consulted	Decision and date
	25.03.2019	Borough Council and Bingham Town Council	Town Council Employee	Yes	Referred for formal investigation
	11.03.2019	Borough Council	Borough Councillor	No	Complaint not accepted under code

Summary of new cases from report to committee 1st July 2019

Case Ref	Date Received	RBC/Parish	Complainant	Nature of Complaint	Independent Person Consulted	Decision and Date
	25.03.2019	Borough Council and Bingham Town Council	Town Council Employee		Yes	Local resolution accepted
	06.06.2019	Borough Council and Bingham Town Council	Member of the public	N/A	Yes	Complaint not accepted under code
	20.06.2019	Cotgrave Parish Council	Member of public	N/A	Yes	Complaint not accepted under code
	23.06.2019	Borough Council and Bingham Town Council	Town Council Employee	N/A	Yes	Complaint not accepted under code
	01.07.2019	Tollerton Parish Council	Member of public	N/A	Yes	Complaint not accepted under code
	04.07.2019	Bradmore Parish Council	Member of public	N/A	Yes	Complaint not accepted under code
	15.07.2019	Borough Council and Bingham Town Council	Town Councillor	Confidential procedural matters	Yes	On hold pending internal determination of issue giving rise to complaint
	04.07.2019	Borough Council and Bingham Town Council	Town Councillor	N/A	Yes	Complaint not accepted under code

Summary of new cases from report to Committee 23rd September 2019

Case Ref	Date Received	RBC/Parish	Complainant	Nature of Complaint	Independent Person Consulted	Decision and Date
	18.11.2019	Sutton Bonington Parish Council	Member of the public	N/A	No	Complaint not accepted under code

APPENDIX B

Best Practice Principles

Best Practice	Description	Achieved Date
Best Practice 1	Local Authority should include prohibitions on bullying and harassment in codes of conduct. These should include a definition of bullying and harassment, supplemented with a list of examples of the sort of behaviour covered by such a definition.	Complete July 2019
Best Practice 2	Councils should include provisions in their code of conduct requiring Councillors to comply with any formal standards investigation and prohibiting trivial or malicious allegations by Councillors.	Complete July 2019
Best Practice 3	Principle authorities should review their code of conduct each year and regularly seek, where possible, the views of the public, community organisations and neighbouring authorities	Review completed July 2019 Principle to be included as agenda item on regional Monitoring Officer meetings Views of Parish councils are sought when a review is review undertaken
Best Practice 4	An authority's code should be readily accessible to both Councillors and the public, in a prominent position on a Councils website and available in Council premises.	Complete (under Council and Democracy tab on internet page)
Best Practice 5	Local authorities should update their gifts and hospitality register at least once per quarter, and publish it in an accessible format, such as CSV	Complete November 2019
Best Practice 6	Councils should publish a clear and straightforward public interest test against which allegations are filtered	Complete July 2019
Best Practice 7	Local authorities should have access to at least two Independent Persons	Principle under discussion at regional Monitoring Officer meetings however Rushcliffe and Gedling BC are the only two authorities that have adopted the principles therefore formal arrangements are unlikely to be in pace for some time. In the meantime, informal contacts exist that the Monitoring Officer may call upon if required.

Best Practice 8	An Independent Person should be consulted as to whether to undertake a formal investigation on an allegation and should be given the option to review and comment on allegations which the responsible officer is minded to dismiss as being without merit, vexatious, or trivial.	Process in place prior to adoption therefore no action required
Best Practice 9	Where a local authority makes a decision on an allegation of misconduct following a formal investigation, a decision notice should be published as soon as possible on its website, including a brief statement of facts, the provisions of the code engaged by the allegations, the view of the Independent Person, the reasoning of the decision-maker, and any sanction applied.	Ongoing case by case basis – consider as being complete
Best Practice 10	A local authority should have straightforward and accessible guidance on its website on how to make a complaint under the code of conduct, the process for handling complaints, and estimated timescales for investigations and outcomes.	Confirmed in arrangements (link to code).
Best Practice 11	Formal standards complaints about the conduct of a Parish Councillor towards a Clerk should be made where possible by the chair or by the Parish Council as a whole. In exceptional circumstances the Clerk of the Parish may make the complaint.	Ongoing, case by case basis- consider as being complete
Best Practice 12	Monitoring Officers' roles should include providing advice, support and management of investigations and adjudications on alleged breaches to Parish Councils within the remit of the principle authority. They should be provided with adequate training, corporate support and resources to undertake this work.	Ongoing - consider as being complete
Best Practice 13	A local authority should have procedures in place to address any conflicts of interest when undertaking a standards investigation. Possible steps should include asking the Monitoring Officer from a different authority to undertake the investigation.	Ongoing, case by case basis.

Best Practice 14	Councils should report on separate bodies they have set up or which they own as part of their annual governance statement and given a full picture of their relationship with those bodies. Separate bodies created by local authorities should abide by the Nolan principle of openness and publish their board agendas and minutes and annual reports in an accessible place.	Under consideration
Best Practice 15	Senior officers should meet regularly with political group leaders or group whips to discuss standards issues.	Regular group leader meetings take place therefore complete